



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JOHN C. PARKS, ET AL.

SERIAL NO.: 09/888,246

FILED: JUNE 22, 2001

FOR: IMPROVED BROMINATION
PROCESS

Commissioner for Patents
Washington, DC 20231

Sir:

Attached herewith for consideration by the Examiner is an Information Disclosure Form PTO-1449 (modified). A copy of each listed document should be in the file of the parent application Serial Number 09/658,983, filed June 4, 1996 of which the present application is a Continuation. However, if additional copies are needed, they will be supplied on request. A copy of WO 96/08457 A1 and WO 96/15087, identified as AL and AM on Page 7 of the attached, and U.S. Patent 5,457,248, identified as AD on Page 9 of the attached, are enclosed.

Respectfully submitted,

Edgar E. Spielman, Jr.

Reg. No. 25,929

Attorney for Applicant(s)

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Facsimile: (225)388-7239

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Docket No.
FR-6842

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application Of: **JOHN C. PARKS, ET AL.**

Serial No.	Filing Date	Examiner	Group A Unit
09/888,246	June 22, 2001	NOT ASSIGNED	1616

Title:



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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Docket No.
FR-6842-C**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**
(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application: JOHN C. PARKS, ET AL.

Serial No.

09/888,246

Filing Date

June 22, 2001

Examiner

NOT ASSIGNED

Group And Unit

1616

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Veronica S. Kesler

Typed or Printed Name of Person Mailing Certificate

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Signature

Dated:

08/22/01

EDGAR E. SPIELMAN, JR.

Reg. No. 25,929

Albemarle Corporation

Law Department

451 Florida Street

Baton Rouge, LA 70801-1765

CC:

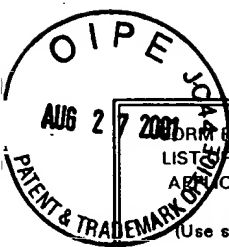
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DRMT (TO-1449 (Modified)) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: FR-6842-C	SERIAL NO. 09/888,246
	APPLICANTS: JOHN C. PARKS, ET AL.	
	FILING DATE: 06/22/2001	GROUP: 1616

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	R	E	3	0	7	7	8	10/81	Hahn, et al.	241	18	
	AB	R	E	3	2	6	0	6	02/88	Stepniczka	568	779	
	AC	2	0	2	2	6	3	4	11/35	Britton, et al.	260	150	
	AD	2	0	3	3	6	1	2	03/36	Clark, et al.	260	161	
	AE	2	2	4	0	7	1	8	05/41	Schiffman, et al.	209	6	
	AF	2	2	4	4	2	8	4	06/41	Britton, et al.	260	649	
	AG	2	5	5	6	7	2	1	06/51	Gislon, et al.	260	33.8	
	AH	2	6	0	7	8	0	2	08/52	Britton, et al.	260	544	
	AI	2	9	7	9	6	3	7	04/61	Welna	315	84	
	AJ	3	0	2	9	2	9	5	04/62	Thermet, et al.	260	649	

FOREIGN PATENT DOCUMENTS

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	AK		7	0	8	2	0	9	04/65	Canada	260	649		
	AL	0	2	6	5	1	5	0	04/88	EPO				
	AM	0	3	4	7	1	1	6	12/89	EPO				
	AN	0	4	4	7	1	5	2-A1	09/91	EPO				
	AO	0	4	6	9	5	6	9-A2	02/92	EPO				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Green, et al., "Flame Retarding Thermoplastic Styrenic Resins", <i>Fire Retardants: Proceedings of 1974 International Symposium on Flammability and Fire Retardants</i> , Cornwall, Ontario Canada, May 1974, Technomic Publishing Co., Inc., pp. 68-76
	AQ	Vogel, Dr. Hans, "Flammfestmachen von Kunststoffen", <i>Technologie Der Makromolekularen Chemie</i> , p. 49 (no translation) 1966
	AR	Gassman, et al., "The 3-(Fluoromethyl)phenyl Group. A Useful Moiety for the Study of Solvolysis Reactions with Large Negative <i>p</i> Values", <i>The Journal of Organic Chemistry</i> , Volume 47, No. 20, September 1982, pp. 4002-4004
	AS	Inaba, et al.; "Highly Reactive Metallic Nickel: Reductive Homocoupling Reagent for Benzylic Mono- and Polyhalides", <i>The Journal Of Organic Chemistry</i> , Volume 49, No. 12, June 1984, pp. 2093-2098

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: FR-6842-C	SERIAL NO.: 09/888,246
	APPLICANTS: JOHN C. PARKS, ET AL.	
	FILING DATE: 06/22/2001	GROUP: 1616

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3	0	6	2	8	9	9	11/62	Sax	260	650	
	AB	3	1	4	1	8	6	0	07/64	Sauer, et al.	260	33.8	
	AC	3	2	3	2	9	5	9	02/66	Hahn	260	389	
	AD	3	2	8	5	9	6	5	11/66	Jenkner	260	612	
	AE	3	3	3	1	7	9	7	07/67	Kopetz, et al.	260	28.5	
	AF	3	3	6	6	6	9	4	01/68	Thompson	260	612	
	AG	3	4	4	1	5	2	4	04/69	Burger, et al.	260	2.5	
	AH	3	5	9	1	6	4	5	07/71	Selwitz	260	650	
	AI	3	6	3	9	3	0	2	02/72	Brown, et al.	260	2.5 FP	
	AJ	3	7	1	1	5	6	2	01/73	Maul, et al.	260	649 R	

FOREIGN PATENT DOCUMENTS

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	AK	2	0	2	7	7	6	2	12/71	Fed. Rep. of Germany				X
	AL	2	1	4	8	2	7	6	04/72	Fed. Rep. of Germany				X
	AM	2	4	0	0	4	5	5	02/75	Fed. Rep. of Germany				X
	AN	2	5	2	1	9	2	6	04/76	Fed. Rep. of Germany				X
	AO	2	9	5	0	8	7	7	06/81	Fed. Rep. of Germany			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Corey, et al., "Silacarboxycles From Ring Expansion", <i>Journal of Organometallic Chemistry</i> , Volume 210, No. 2, May 1981, pp. 149-161
	AQ	Golounin, "Formation Of Hexabromobenzene In the Bromination Of (C ₆ H ₅) ₂ X Compounds", translated from <i>Zhurnal Organicheskoi Khimii</i> , Volume 12, No. 8, pp. 1834-1835, August 1976. Original article submitted November 1975
	AR	<i>Encyclopedia Of Polymer Science And Technology</i> , Volume 7, "Fire Retardancy", p. 3, (1967)
	AS	Kirk-Othmer <i>Encyclopedia Of Chemical Technology</i> , John Wiley & Sons, New York, Third Edition, Volume 10, "Halogenated Flame Retardants", p. 373, (1980)

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DATE CONSIDERED

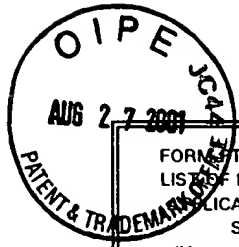
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FORM TO-1110 (Modified)
LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT
(Use several sheets if necessary)

ATTY. DOCKET NO.: **FR-6842-C**SERIAL NO.: **09/888,246**APPLICANTS: **JOHN C. PARKS, ET AL.**FILING DATE: **06/22/2001**GROUP: **1616**

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3	7	3	3	3	6	6	05/73	Burk	260	649 D	
	AB	3	7	5	2	8	5	6	08/73	Nagy, et al.	260	612 R	
	AC	3	7	6	3	2	4	8	10/73	Mitchell	260	649 D	
	AD	3	8	3	3	6	7	4	09/74	Brackenridge	260	649 DP	
	AE	3	8	3	9	1	4	0	10/74	Tyler, et al.	161	175	
	AF	3	8	4	5	1	4	6	10/74	Moore, et al.	260	650 R	
	AG	3	8	5	0	8	8	2	11/74	Underwood, et al.	260	45.75R	
	AH	3	8	8	3	4	8	1	05/75	Kopetz, et al.	260	45.75 B	
	AI	3	9	1	1	0	3	3	10/75	Schaffner, et al.	260	649 R	
	AJ	3	9	3	1	0	8	1	01/76	Dany, et al	260	29.1 SB	

FOREIGN PATENT DOCUMENTS

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	AK	3	4	2	2	6	7	3	12/85	Fed. Rep. of Germany				X
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	AM			7	3	5	4	8	06/76	Japan				X
	AN			3	9	6	3	9	03/77	Japan				X
	AO		1	1	2	8	2	2	10/78	Japan			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

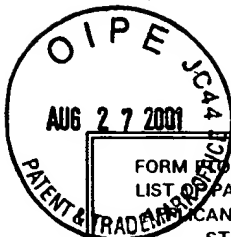
AP		Kirk-Othmer <i>Encyclopedia Of Chemical Technology</i> , John Wiley & Sons, Third Edition, Volume 23, "UV Stabilizers", pp. 615-627, (1983)
AQ		An American National Standard, "ASTM Designation: E 308 - 85 - Standard Method for Computing The Colors Of Objects By Using The CIE System", pp. 242 - 289, (1985)
AR		An American National Standard, "ASTM Designation: D 1925 - 70 (Reapproved 1988) - Standard Test Method for Yellowness Index of Plastics", pp. 163 - 165
AS		Japanese Patent Abstract 60-166333(A), <i>Patent Abstracts Of Japan</i> , Vol. 10, Number 13(C-323) [2070], January, 1986

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: FR-6842-C SERIAL NO. 097888,246	
	APPLICANTS: JOHN C. PARKS, ET AL.	
	FILING DATE: 06/22/2001	GROUP: 1616

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3	9	5	9	3	8	7	05/76	Brackenridge	260	612 R	
	AB	3	9	6	2	1	6	4	06/76	Praetzel, et al.	260	28.5 B	
	AC	3	9	6	5	1	9	7	06/76	Stepniczka	260	623 H	
	AD	3	9	6	9	3	8	7	07/76	Merkel	260	448 R	
	AE	3	9	7	1	7	5	8	07/76	Anderson, et al.	260	45.9 KA	
	AF	4	0	2	4	0	9	2	05/77	Sonnenberg	260	2.5 FP	
	AG	4	0	6	7	9	3	0	01/78	Versnel, et al.	260	880 R	
	AH	4	0	7	2	6	5	8	02/78	Okamoto, et al.	260	49	
	AI	4	1	3	0	6	0	5	12/78	Barkhuff, Jr.	260	876 R	
	AJ	4	1	5	0	0	6	6	04/79	Kudo, et al.	260	876 R	

FOREIGN PATENT DOCUMENTS

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	AK		1	1	6	3	3	2	10/78	Japan				X
	AL		1	1	6	3	3	3	10/78	Japan				X
	AM		1	1	6	3	3	4	10/78	Japan				X
	AN			4	4	6	2	3	04/79	Japan			X	
	AO			7	0	0	5	9	06/81	Japan				X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Japanese Patent Abstract 57-045114, <i>American Chemical Society</i> , 1990											
	AQ	Kerscher, Dr. Utto, "Bromine-Containing Flameproofing Agents For Fireproofing Of Plastics", translated from: <i>Kunststoffjournal</i> , 13(1), 6, 8, 10, 14, 16 (1979), pp. 1 - 14											
	AR	<i>Chemical Abstracts</i> , Vol. 46, 7084g, (1952)											
	AS	<i>Chemical Abstracts</i> , Vol. 98, 1983, 98:160866p											

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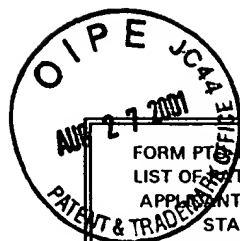
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FORM PTO 449 (Modified)
LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT
(Use several sheets if necessary)

ATTY. DOCKET NO.: **FR-6842-C**SERIAL NO **09/888,246**APPLICANTS: **JOHN C. PARKS, ET AL.**FILING DATE: **06/22/2001**GROUP: **1616**

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	2	2	3	1	6	9	09/80	Barda	568	645	
	AB	4	2	3	0	8	2	1	10/80	Bertrand	521	95	
	AC	4	2	7	6	1	8	9	06/81	Jackisch	252	404	
	AD	4	2	8	7	3	7	3	09/81	Garman, et al.	568	639	
	AE	4	3	0	1	0	5	8	11/81	Neukirchen, et al.	260	40 R	
	AF	4	3	2	7	2	2	7	04/82	Ayres, et al.	568	639	
	AG	4	3	4	8	3	0	6	09/82	Mischutin	252	608	
	AH	4	3	9	6	7	3	0	08/83	Imahashi	523	200	
	AI	4	4	4	2	0	1	7	04/84	Blumberg, et al.	252	301.21	
	AJ	4	5	0	5	8	5	0	03/85	Pearson	252	609	

FOREIGN PATENT DOCUMENTS

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	AL			9	5	1	4	3	04/89	Japan				X
	AM		1	4	6	9	2	6	06/89	Japan				X
	AN		2	3	2	8	2	5	10/91	Japan				X
	AO		9	8	1	8	3	3	01/65	United Kingdom				

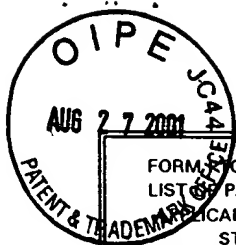
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP		84852-53-9, <i>CAS Registry Handbook</i> , 632RL, Supplement Part 1 (1983)
	AQ		U.S. Patent Application Serial No. 06/758,967, filed 07/25/85, entitled "Polybrominated Diphenylethane Fire Retardant", Mossaad, Amgad S., et al.
	AR		
	AS		

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	APPLICANTS: JOHN C. PARKS, ET AL.	
	FILING DATE: 06/22/2001	GROUP: 1616

REFERENCE DESIGNATION										U. S. PATENT DOCUMENTS			
Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	5	2	1	6	3	3	06/85	Pedjac	568	639	
	AB	4	5	3	2	2	8	7	07/85	Bill, et al.	524	343	
	AC	4	5	6	9	5	9	6	02/86	Romanchik, et al.	366	107	
	AD	4	6	3	9	4	8	1	01/87	Giles, Jr.	524	128	
	AE	4	6	3	9	4	8	6	01/87	Liu	524	409	
	AF	4	6	5	9	0	2	1	04/87	Bark, et al.	241	18	
	AG	4	6	6	6	9	4	7	05/87	Brichta, et al.	521	79	
	AH	4	7	1	7	7	7	6	01/88	Brackenridge, et al.	568	637	
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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AK		9	9	1	0	6	7	05/65	United Kingdom			
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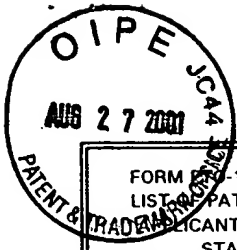
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)													
	AP												
	AQ												
	AR												
	AS												

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	AA	4	7	5	1	2	6	0	06/88	Kress, et al.	524	130	
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
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	AL	9	6	0	8	4	5	7A1	03/96	WIPO				
	AM	9	6	1	5	0	8	7	05/96	WIPO				
	AN													
	AO													

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

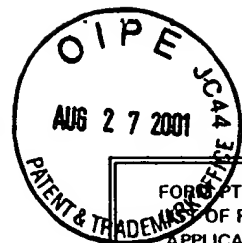
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FORM PTO-1449 (Modified) OFFICE OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: FR-6842-C	SERIAL NO.: 09/888,246
	APPLICANTS: JOHN C. PARKS, ET AL.	
	FILING DATE: 06/22/2001	GROUP: 1616

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	0	0	8	4	7	7	04/91	Hussain	570	208	
	AB	5	0	3	0	7	7	8	07/91	Ransford	570	208	
	AC	5	0	3	6	1	2	6	07/91	Rinehart, et al.	524	141	
	AD	5	0	3	9	7	2	9	08/91	Brackenridge, et al.	524	412	
	AE	5	0	4	1	6	8	7	08/91	McKinnie, et al.	568	592	
	AF	5	0	5	3	4	4	7	10/91	Hussain	524	412	
	AG	5	0	5	5	2	3	5	10/91	Brackenridge, et al.	252	609	
	AH	5	0	5	9	6	5	0	10/91	Goettesch, et al.	524	412	
	AI	5	0	7	7	3	3	4	12/91	Hussain	524	469	
	AJ	5	1	2	4	4	9	6	06/92	Templeton, et al.	570	210	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AK													
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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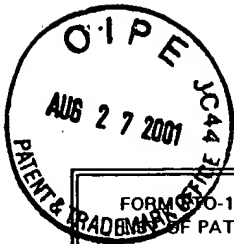
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REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

Examiner INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	2	1	8	0	1	7	06/93	Doucet, et al.	523	351	
	AB	5	3	0	2	7	6	8	04/94	Hussain	570	185	
	AC	5	3	2	4	8	7	4	06/94	Ransford, et al.	570	208	
	AD	5	4	5	7	2	4	8	10/95	Mack, et al.	570	206	
	AE												
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

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